



121103

16367 U.S. PTO

PTO/SB/13/PCT (08-03)

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121103

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

| DOCKET NUMBER | ANTICIPATION CLASSIFICATION OF THIS APPLICATION | | PRIOR APPLICATION EXAMINER | ART UNIT |
|---------------|---|----------|----------------------------|----------|
| FUJR 20.763 | CLASS | SUBCLASS | | |

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT JP01/05042, filed on 06/13/2001 entitled OPTICAL COMMUNICATION SYSTEM, which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--|---------|------------------|-------------------------------|-------------|------------------|
| TOTAL CLAIMS (37 CFR 1.16(c)) | | 11 - 20 = | | x \$ 18 = | \$ |
| INDEPENDENT CLAIMS (37 CFR 1.16(b)) | | 3 - 3 = | | x \$ 86 = | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | | x \$ ---- = | |
| | | | BASIC FEE (37 CFR 1.16(a)) | | 770 |
| | | | Total of above Calculations = | | |
| Reduction by 50% for filing small entity (Note 37 CFR 1.27). | | | | | |
| Total = | | | | | 770 |

1. Enclosed are the specification, claims and drawing(s).

2. ☐ Applicant claims small entity status. See 37 CFR 1.27.

3. ☒ The Director is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment of Deposit Account No. 50-1290. A duplicate copy of this sheet is enclosed.

4. ☐ A check in the amount of \$ _____ is enclosed.

5. ☐ Payment by credit card. Form PTO-2038 is attached.

6. ☐ Application Data Sheet is enclosed. See 37 CFR 1.76.

7. ☒ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional of prior PCT application No.: PCT/JP01/05042, filed 06/13/2001

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This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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8. ☒ A declaration under CFR 1.63 is enclosed.
9. ☐ Priority of foreign application number _____, filed on _____
in _____ is claimed under 35 U.S.C. 119(a)-(d).
- ☐ The certified copy is enclosed.
10. ☐ A preliminary amendment is enclosed.
11. ☒ Also enclosed:
Assignment & Form PTO-1595

Address all future correspondence to: (May only be completed by applicant, or attorney or agent or record).

Customer No.: 026304

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

December 11, 2003

Date



Signature

(212) 940 - 8800

Telephone Number

Thomas J. Bean

Typed or printed name

44,528

Registration Number, if applicable

- ☐ Inventor(s)/Applicant(s)
- ☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or agent of record
- ☐ Filed under 37 CFR 1.34(a)
Registration number if acting under 37 CFR 1.34(a) _____

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.